

United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

FIRST NAMED APPLICANT APPLICATION NUMBER FILING/RECEIPT DATE ATTORNEY DOCKET NUMBER 09/681,674 05/18/2001

Yoshifumi Natsuyama

JP920000096

DSJ 00877 IBM CORPORATION, T.J. WATSON RESEARCH CENTER P.O. BOX 218 YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 3666 FORMALITIES LETTER

OC00000006198017

Date Mailed: 06/19/2001

Due 8/19

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICA

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

07/25/2001 JADDO1

00000111 090468 09681674

01 FC:105

130.00 CH



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Y. Natsuyama

Docket No.:

JP920000096US1

Serial No.: 09/681,674

Group Art Unit: 2871

Filed:

May 18, 2001

Examiner:

For:

Liquid Crystal Display Device And A Method Thereof

Assistant Commissioner for Patents

Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

1) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated 6/19/2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted in further enclosed.

- 2) Deposit Account Authorization Form
- 3) Declaration and Power of Attorney
- 4) Recordation Form Cover Sheet
- 5) Assignment to IBM
- 6) Submission of Priority Document
- 7) Certified <u>Japanese</u> Priority Application No. _2000-163361__
- 8) Transmittal Letter
- 9) PTO 1449 Form w/ one reference
- 10) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,

Derek S. Jennings - Pate July 20, 2001 Reg. Patent Agent/Engineer

Reg. No. 41,473

Tel. No. (914) 945-2144

IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, New York 10598





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Y. Natsuyama

Docket No.:

JP920000096US1

Serial No.: 09/681,674

Group Art Unit: 2871

Filed:

May 18, 2001

Examiner:

For:

Liquid Crystal Display Device And A Method Thereof

Assistant Commissioner for Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application
Transmittal Letter
Deposit Account Authorization Form
Declaration and Power of Attorney
Recordation Form Cover Sheet
Assignment to IBM
Submission Of Priority Document
Certified Japanese Priority Document 2000-163361
Transmittal Letter

PTO 1449 Form w/ one reference Acknowledgment Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents Washington, D.C. 20231

on July 20, 2001

La' Tricia M. Bunch

(Type or printed name of person mailing paper of fee)

(Signature of person mailing paper or fee)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Y. Natsuyama

Date: July 20, 2001

Serial No.: 09/681,674

Group Art Unit: 2871

Filed: May 18, 2001

Examiner:

For: Liquid Crystal Display Device And A Method Thereof

Assistant Commissioner for Patents Washington, DC 20231

DEPOSIT ACCOUNT AUTHORIZATION FORM

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated June 19, 2001, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Derek S. Jennings

Reg. Patent Agent/Engineer

Reg. No.: 41,473

Tel. No.: (914) 945-2144

IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, New York 10598

Docket No.: JP920000096US1